

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/613,499	07/03/2003	Hugh Herr		4424
7590 01/09/2006			EXAMINER	
Hugh Herr			BLANCO, JAVIER G	
51 Montrose St.	•			
Somerville, MA	A 02143		ART UNIT	PAPER NUMBER
			3738	

DATE MAILED: 01/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	10/613,499	HERR, HUGH
Office Action Summary	Examiner	Art Unit
	Javier G. Blanco	3738
The MAILING DATE of this communica	tion appears on the cover sheet w	th the correspondence address
Period for Reply A SHORTENED STATUTORY PERIOD FOR WHICHEVER IS LONGER, FROM THE MAIN - Extensions of time may be available under the provisions of 3 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statute. Failure to reply within the set or extended period for reply will. Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b).	LING DATE OF THIS COMMUNION OF CFR 1.136(a). In no event, however, may a recation. Ory period will apply and will expire SIX (6) MON, by statute, cause the application to become AE	CATION. eply be timely filed THS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed of	on 25 October 2005.	
·	☐ This action is non-final.	
3) Since this application is in condition for		ers, prosecution as to the merits is
closed in accordance with the practice	under Ex parte Quayle, 1935 C.C	. 11, 453 O.G. 213.
Disposition of Claims		
 4) Claim(s) 1-11 is/are pending in the approach 4a) Of the above claim(s) 2,4-8,10 and 5) Claim(s) is/are allowed. 6) Claim(s) 1,3 and 9 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction. 	11 is/are withdrawn from conside	ration.
Application Papers		
9) The specification is objected to by the E		8
10) The drawing(s) filed on 20 November 2		
Applicant may not request that any objection		
Replacement drawing sheet(s) including the 11) The oath or declaration is objected to be		
Priority under 35 U.S.C. § 119		
· · · · · · · · · · · · · · · · · · ·	ocuments have been received. Ocuments have been received in A Ocuments have been the priority documents have been Ocuments have been the large of th	application No received in this National Stage
Attachment(s)	,	2
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTC 	, —	Summary (PTO-413) s)/Mail Date
3) Information Disclosure Statement(s) (PTO-1449 or PT Paper No(s)/Mail Date		nformal Patent Application (PTO-152)

Application/Control Number: 10/613,499 Page 2

Art Unit: 3738

DETAILED ACTION

Election/Restrictions

- 1. Applicant's election with traverse of the species embodied in Figure 7 (one of the biarticular embodiments) in the reply filed on October 25, 2005 is acknowledged. The traversal is on the ground(s) that independent claims 1 and 9 are generic. According to the Applicant's response, independent claim 1 has been amended and should be generic. According to the Applicant's response, claims 1, 3, and 9 read on the elected species.
- 2. Claims 2, 4-8, 10, and 11 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected species, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on October 25, 2005.

Response to Amendment

3. Applicant's amendment of claims 1 and 7 in the reply filed on October 25, 2005 is acknowledged.

Oath/Declaration

4. The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because: It does not include the notary's signature, or the notary's signature is in the wrong place.

Drawings

- 5. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims.
- a. Therefore, the "stiffness controller" (see independent claim 1, line 5) must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.
- **b.** Therefore, the "spring-rate controller" (see independent claim 9, line 3) must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Application/Control Number: 10/613,499 Page 4

Art Unit: 3738

Specification

6. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required:

- a. A "stiffness controller" (see independent claim 1, line 5).
- b. A "spring-rate controller" (see independent claim 9, line 3).

Claim Objections

7. Claim 9 is objected to because of the following informality: please substitute "the spring rate a" (see line 2) with --the spring rate of a--. Appropriate correction is required.

Claim Rejections - 35 USC § 112

- 8. The following is a quotation of the second paragraph of 35 U.S.C. 112:

 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 9. Claims 1, 3, and 9 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- a. Regarding independent claim 1, the limitation "a controllable-stiffness spring element" is indefinite as to the scope of the invention.

According to the specification (see paragraphs 0018-0024, 0028, 0065, and 0066), the elected species (embodied in Figure 7) is an "energy transfer embodiment", particularly a "biarticular" embodiment. The embodiments of Figures 4-6 and 9-16 are "variable-stiffness

Art Unit: 3738

embodiments" (having variable/controllable/adjustable spring-rate). It seems, from the claim language of claims 1 and 3 that said subject matter is drawn to a "variable-stiffness embodiment", rather than an "energy transfer embodiment". The Examiner will interpret the (broad) claimed subject matter of claims 1 and 3 as best understood, meaning that any reference showing some sort of controller (well known in the art) and a spring (which will inherently have a spring rate and/or damping rate) will read on said claim language.

Page 5

b. Regarding independent claim 9, the limitation "a controllable-spring-rate spring" is indefinite as to the scope of the invention.

According to the specification (see paragraphs 0018-0024, 0028, 0065, and 0066), the elected species (embodied in Figure 7) is an "energy transfer embodiment", particularly a "biarticular" embodiment. The embodiments of Figures 4-6 and 9-16 are "variable-stiffness embodiments" (having variable/controllable/adjustable spring-rate). It seems, from the claim language of claim 9 that said subject matter is drawn to a "variable-stiffness embodiment", rather than an "energy transfer embodiment". The Examiner will interpret the (broad) claimed subject matter of claim 9 as best understood, meaning that any reference showing some sort of controller (well known in the art) and a spring (which will inherently have a spring rate and/or damping rate) will read on said claim language.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the 10. basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

Application/Control Number: 10/613,499 Page 6

Art Unit: 3738

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

11. Claims 1, 3, and 9 are rejected, <u>as best understood</u>, under 35 U.S.C. 102(b) as being clearly anticipated by Petrofsky et al. (US 5,888,212).

Referring to Figures 1-24B, Petrofsky et al. disclose a variable impedance prosthesis/orthosis comprising a proximal end, a distal end, a stiffness/spring-rate controller (see Abstract), and a controllable-stiffness spring (see column 5, lines 1-23; column 8, lines 41-51) with a variable/adjustable/controllable mechanical advantage (e.g., spring rate, damping rate, etc.). See, for example, column 9, lines 21-57. The method of varying the stiffness/spring-rate with a controller as a function of a repeated cycle of use of said prosthesis or orthosis is disclosed throughout the document.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Javier G. Blanco whose telephone number is 703-605-4259. The examiner can normally be reached on M-F (9:00 a.m.-6:00 p.m.), first Friday of the bi-week off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Corrine McDermott can be reached on 703-308-2111. The fax phone numbers for the organization where this application or proceeding is assigned is 703-872-9306 for regular communications and After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

JGB

December 30, 2005

David H. Willse Primary Examiner